

32692

Customer Number

Patent  
Case No.: 52320US018

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ALLEN, RICHARD C.  
Application No.: 10/614775      Group Art Unit:  
Filed: July 7, 2003      Examiner: Unknown  
Title: AN OPTICAL FILM WITH CO-CONTINUOUS PHASES

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

June 2, 2004  
Date

Cheryl A. Saver  
Signed by: Cheryl A. Saver

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set

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forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

2 June 2004  
Date

By: Stephen C. Jensen  
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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

July 7, 2003

First Named Inventor

Allen, Richard C.

Art Unit

Examiner Name

Attorney Case Number

52320US018

(Use as many sheets as necessary)

Page 1 of 6

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	(This field is not required) Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known) (Add Kind Code after patent No. when available)			
	A1	US- 2,246,087	6/1941	Bailey et al.	
	A2	US- 2,604,817	7/1952	Schupp, Jr.	
	A3	US- 3,124,639	3/1964	Kahn	
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	A26	US- 4,268,127	5/1981	Oshima et al.	
	A27	US- 4,302,553	11/1981	Frisch et al.	

\*Examiner:

Date Considered:

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<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 6	<b>Application Number</b>	<b>10/614775</b>
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	A28	US- 4,310,584	1/1982	Cooper et al.	
	A29	US- 4,330,447	5/1982	Lundberg et al.	
	A30	US- 4,389,515	6/1983	DeLaMare et al.	
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	A54	US- 5,157,526	10/1992	Kondo et al.	

<b>*Examiner:</b>	<b>Date Considered:</b>
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		Doc. Number-(Kind Code if Known) (Add Kind Code after patent No. when available)			
	A55	US- 5,166,817	11/1992	Ota et al.	
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	A82	US- 5,469,278	11/1995	Takahara et al.	
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	A109	US- 6,088,067	7/2000	Willett et al.	
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Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	(This field is not required) Name of Patentee or Applicant of Cited Document	(This field is not required) Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	EP	0 488 544 A1	6/1992			
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	B3	EP	0 506 176 A1	9/1992			
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	B7	EP	0 684 423 A2	11/1995			
	B8	JP	4-141603	5/1992			Abstract
	B9	JP	6001871	1/1994			Abstract
	B10	WO	WO 94/29765	12/1994			
	B11	WO	WO 95/17303	6/1995			
	B12	WO	WO 95/17691	6/1995			
	B13	WO	WO 95/17692	6/1995			
	B14	WO	WO 95/17699	6/1995			
	B15	WO	WO 95/27919	10/1995			
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	B17	WO	WO 96/31794	10/1996			
	B18	WO	WO 97/01440	1/1997			
	B19	WO	WO 97/01774	1/1997			
	B20	WO	WO 97/32226	9/1997			

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OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Alfrey et al., "Physical Optics of Iridescent Multilayered Plastic Films," <i>Polymer Engineering and Science</i> , Nov. 1969, Vol. 9, No. 6, pp. 400-404.	
	C2	Aphonin, O. A., "Optical Properties of Stretched Polymer Dispersed Liquid Crystal Films: Angle-Dependent Polarized Light Scattering," <i>Liquid Crystals</i> , Vol. 19, No. 4, 1995, pp. 469-480.	
	C3	Hodgkinson et al., "Effective Principal Refractive Indices and Column Angles for Periodic Stacks of Thin Birefringent Films," <i>J. Opt. Soc. Am. A.</i> , Vol. 10, No. 9, Sept. 1993, pp. 2065-2071.	
	C4	Kirk-Othmer Encyclopedia of Chemical Technology, 4 <sup>th</sup> Ed., Deuterium and Tritium to Elastomers, Polyethers, "Dyes, Anthraquinone," John Wiley & Sons, 1993, pp. 652-661.	
	C5	Land, E. H., "Some Aspects of the Development of Sheet Polarizers," 1951, Optical Society of America, Reprinted from <i>Journal of the Optical Society of America</i> , Vol. 41(12), Dec. 1951, pp. 957-963.	
	C6	Randord et al., Reflectivity of Iridescent Coextruded Multilayered Plastic Films, <i>Polymer Engineering and Science</i> , May 1973, Vol. 13, No. 3, pp. 216-221.	
	C7	Schrenk et al., Nanolayer polymeric optical films, <i>Tappi Journal</i> , pp. 169-174, June., 1992.	

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